

DEF EXHIBIT

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## IOWA INTERSTATE RAILROAD, LTD.

## REPORT OF PERSONAL INJURY OR ILLNESS

EXHIBIT

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FENGAD 800-631-6983

12/5/07 AWJ

**RULE 1.2. GENERAL CODE OF OPERATING RULES:** "All cases of personal injury while on duty or on Company property must be immediately reported to the proper manager and the prescribed form completed. A personal injury that occurs while off duty that will in any way affect employee performance must be reported to the proper manager as soon as possible. The injured employee must also complete the prescribed form before returning to service."

Instructions: Answer all questions in each applicable section, legibly, in ink, accurately and fully in your own handwriting as soon as possible after an accident / illness occurs. If unable to complete the report due to your physical condition, the required information must be furnished by the person completing the form on your behalf.

## SECTION I - IDENTIFICATION OF ILL / INJURED EMPLOYEE

(1) Name of Injured / Ill Person (first, middle, last) Michael L Smock	(2) Residence Phone # (563) 649-2230	(3) Age 40	(4) Birth Date 6-29-65
(5) Complete Address (number, street, city, state, zip code) 2141 Hwy #6 Atalissa Iowa 52720			
(6) Social Security Number 484-84-2036	(7) Employee Number 662	(8) Gender (circle one) <input checked="" type="radio"/> Male <input type="radio"/> Female	(9) Marital Status (circle one) <input checked="" type="radio"/> Single <input type="radio"/> Married <input type="radio"/> Divorced <input type="radio"/> Widow/Widower <input type="radio"/> Legally Separated
(10) Occupation conductor	(11) Department Tie	(12) Date Entered Service 9-9-97	
(13) Immediate Supervisor	(14) Time Shift or Trip Began 1800	(15) Assigned Rest Days Saturday-Sunday	

## SECTION II - DETAILS OF ACCIDENT / INCIDENT / ILLNESS

(1) Date of Accident / Incident / Illness 11-1-05	(2) Time 1524 PM	(3) Location (street, track, building, etc) Oxford elevator	City, State, Zip Code Oxford Ia	County
(4) Mile Post 257.6	Division 3	(5) Was Injured / Ill Party (circle one) On Duty <input checked="" type="radio"/> Off Duty <input type="radio"/> On Company Property <input type="radio"/> Off Company Property <input type="radio"/>		
(6) Weather <input checked="" type="radio"/> Clear <input type="radio"/> Rain <input type="radio"/> Sleet/Hail <input type="radio"/> Fog <input type="radio"/> Cloudy <input type="radio"/> Other (explain)	Temperature 56°	(7) Visibility (circle one) Dawn <input type="radio"/> Daylight <input checked="" type="radio"/> Dusk <input type="radio"/> Dark <input type="radio"/> Artificial Lighting <input type="radio"/> Other (explain)		
(8) Names and Occupations of Others on Crew or in Department Bill Mefferd engineer				
(9) Explain Specific Job or Activity Being Performed at the Time of Accident / Incident / Illness clear main line to meet east bound.				
(10) Describe in Detail How Accident / Incident / Illness Occurred and What Specifically Caused the Accident / Incident / Illness walking away from switch to watch elevator landing shunt.				
(11) Did IAS Equipment and/or Tools Cause or Contribute to the Cause of the Accident / Incident / Illness? (circle one) Yes <input type="radio"/> No <input checked="" type="radio"/>				
If Yes, Provide Complete Details Ballast around switch stand area improperly maintained - 8" dia drop off with loose ballast. ties not properly dressed higher than the loose ballast.				

## SECTION II - (continued)

(12) Did Other Persons Cause or Contribute to the Cause of the Accident / Incident / Illness? (circle one)

Yes

(No)

If Yes, Provide Names of Other Persons and What They Were Doing to Cause or Contribute to the Cause of the Accident / Incident / Illness

(13) List Names, Occupations and Address of All Persons Who Witnessed the Accident / Incident / Illness

## SECTION III - TRAIN OPERATION EQUIPMENT INVOLVED IN ACCIDENT / INCIDENT / ILLNESS

(1) Train Symbol

(2) Engine Number

(3) Consist (loads, empties, tons)

(4) Identifying Initials &amp; Numbers of Engine, Car or Other Equipment Involved in Accident / Incident

(5) Equipment Was

Stopped

Time Table

602 Jais

(6) Did this Accident / Incident / Illness Result from Riding On, Boarding, or Alighting From, or Being Struck or Run Over By Moving Engines, Cars, Cars Loading or Other Equipment? (circle one)

Yes

(No)

If Yes, Explain

## SECTION IV - NATURE OF INJURY / ILLNESS AND TREATMENT

(1) Nature of Injury / Illness (laceration, bruise, sprain, etc)

(2) Are of Body Affected (right, left, front, back, upper, lower, etc)

(3) Nature and Extent of Injury / Illness

(4) Treatment Required (circle one)

None

First Aid

Treated &amp; Released

X-Rays

Hospitalized

Other (explain)

(5) If First Aid Was Administered, Name the Person(s) and What Type of First Aid Was Administered

(6) Was Injured / Ill Party Examined by a Doctor? (circle one)

Yes

No

If Yes, Doctor's Name/Address

(7) Doctor's Telephone #

(8) What Type of Treatment Was Provided?

(9) Did You Receive a Prescription for the Injury / Illness? (circle one)

Yes

No

(10) If Yes, What is the Name of the Prescribe Medication(s)?

(11) If Hospitalized, Name and Address of Hospital

(12) Were You Able to Return to Work on Next Assignment? (circle one)

Yes

No

(13) If No, Approximate Date of Return

(14) Name of Immediate Supervisor on Duty at the Time of the Injury / Illness

## MEDICAL RECORDS AUTHORIZATION

I, the injured / ill person, authorized the health care provider(s) and / or hospital(s) to furnish medical data concerning my injury / illness for as long as I am being treated for this injury / illness to Iowa Interstate Railroad, Ltd., and / or its representatives upon request. I also authorize IHS and its representatives to talk with the health care provider(s) and / or hospital(s) concerning my injury / illness for as long as I am being treated for this injury / illness. A photostatic copy of this authorization shall be deemed by any health care provider and / or hospital effective and valid as the original.

I certify that the foregoing information is true and correct.

Signature of Injured / Ill Person

Person Completing the Report

Date

Distribution of this Report Original to the Human Resources Department  
Fax to Dispatcher Immediately